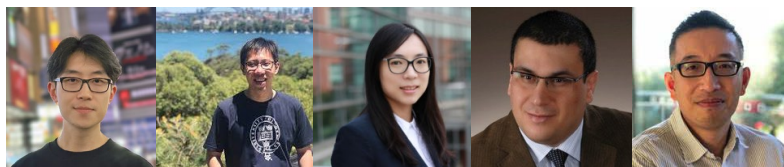


Provably Unlearnable Data Examples



Derui Wang^{1,3}, Minhui Xue^{1,3}, Bo Li², Seyit Camtepe^{1,3}, and Liming Zhu¹

1. CSIRO's Data61, Australia

2. University of Chicago, USA

3. Cyber Security Cooperative Research Centre, Australia



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Outline

■ Background and Motivation

- Learnability of public data poses **risks**.
- There is **NO** rigid guarantee for data learnability control.

■ Proposed Theory: Certified Learnability of Data

- The **first certification framework** towards the effectiveness and robustness of unlearnable examples.
- Main theorem, algorithms, and properties.

■ Experiments

- Certified learnability towards **certifiable pirate models**.
- Protection against **pirate models beyond the certifiable ones**.

■ Applications and Things to be Improved



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Learnability of Public Data Poses Risks

2017 IEEE Symposium on Security and Privacy

Published as a conference paper at ICLR 2017

Membership Inference Attacks Against Machine Learning Models

Reza Shokri Cornell Tech shokri@cornell.edu	Marco Stronati* INRIA marco@stronati.org	Congzheng Song Cornell cs2296@cornell.edu	Vitaly Shmatikov Cornell Tech shmat@cs.cornell.edu
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DELVING INTO TRANSFERABLE ADVERSARIAL EX- AMPLES AND BLACK-BOX ATTACKS

Yanpei Liu*, Xinyun Chen* Shanghai Jiao Tong University	Chang Liu, Dawn Song University of the California, Berkeley
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Pirate ML models are trained on public data to act as adversarial domain experts to expose proprietary/sensitive knowledge.



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Data Exploitation will Become Easier

Fine-Tuning Llama 3 and Using It Locally: A Step-by-Step Guide

We'll fine-tune Llama 3 on a dataset of patient-doctor conversations, creating a model tailored for medical dialogue. After merging, converting, and quantizing the model, it will be ready for private local use via the Jan application.

May 30, 2024 · 19 min read

Fine-Tuning DeepSeek-R1 on Consumer Hardware: A Step-by-Step Guide 🖥️ ⚡ 🔥

Mistral-7B Fine-Tuning: A Step-by-Step Guide

Fine-tune Stable Diffusion with LoRA for as low as \$1



Fine-tuning large models doesn't have to be complicated and expensive. In this tutorial, I provide a step-by-step demonstration of the ...

YouTube · Julien Simon · 9 Oct 2023

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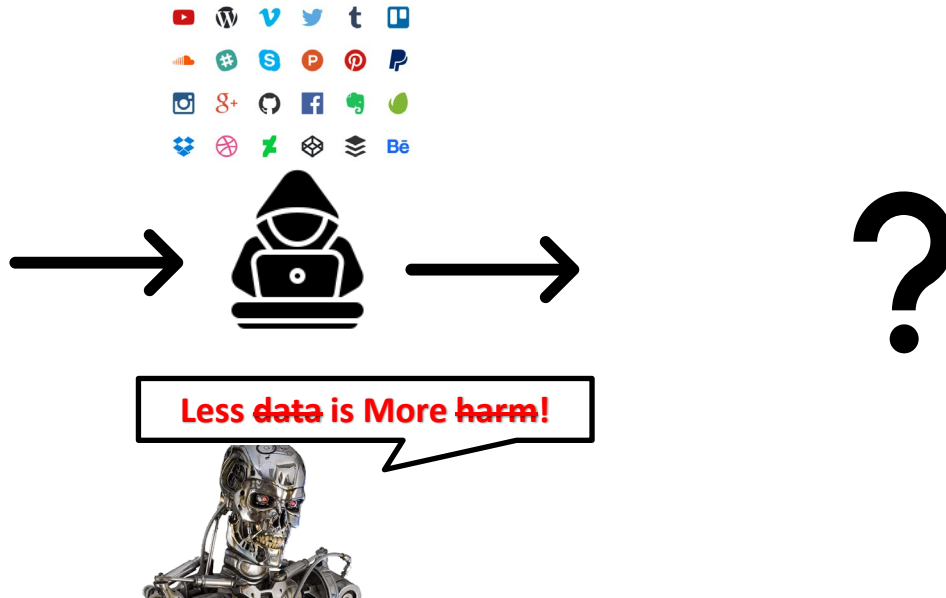
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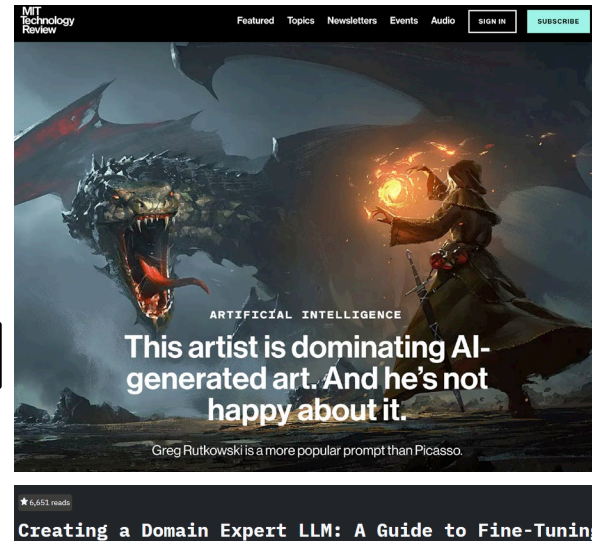
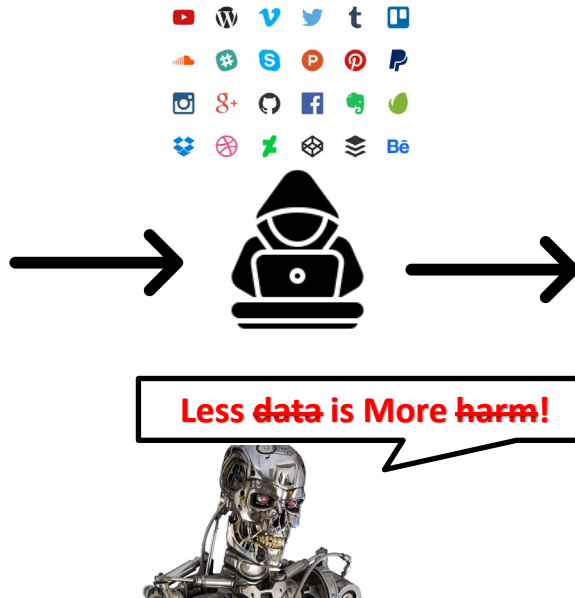
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Legislation from Various Nations Says No, But.....

General Data Protection Regulation GDPR



EU Artificial Intelligence Act



California Privacy Notice



OCTOBER 30, 2023

Administration

Executive Order on the Safe, Secure, and Trustworthy Development and Use of Artificial Intelligence

Voluntary AI Safety Standard

Guiding safe and responsible use of artificial
intelligence in Australia

Date published: 5 September 2024



人工智能 安全治理框架

AI Safety Governance Framework

全国网络安全标准化技术委员会
National Technical Committee 260 on Cybersecurity of SAC
2024年9月

There is **NO** strict guarantee on the **learnability** of the data.



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Data Learnability Control via Unlearnable Examples*

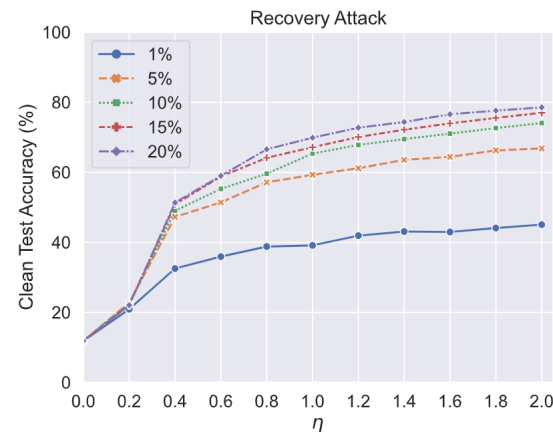
- + Data points are perturbed to unlearnable examples (UEs) before publication.
- + ML models trained on UEs perform poorly on unperturbed data (*i.e.*, low utility).

* This line of work is also referred to as "Perturbative Availability Poison" or "Shortcut Learning".

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- Generalization issues caused by diverse pirate models and training strategies:
No rigid guarantee on the maximally attainable learning results for adversaries.
- Evaluating UEs is challenging due to training stochasticity:
Testing accuracy alone is insufficient!
- A new threat: Recovery Attack.

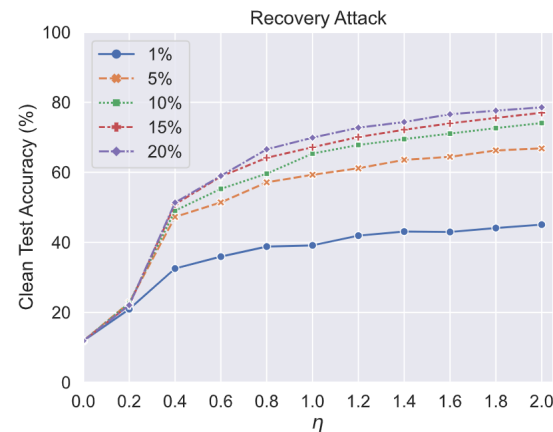
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An attacker can **slightly perturb** the weights of a pirate model trained on UEs using projected SGD and **5%~10%** of clean data to **restore its utility**.

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Unlearnable examples are **vulnerable**.



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Certified Data Learnability Control is Needed

Given a set of UEs, can we establish an upper bound
on the utility of pirate models trained on them?

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Given a set of UEs, can we establish an upper bound on the utility of pirate models trained on them?

Training with different models and learning algorithms millions of times to estimate it is not a wise move!



~~Influence Function, Shapley Value,~~

No existing tools are available.



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Certified Data Learnability Control is Needed

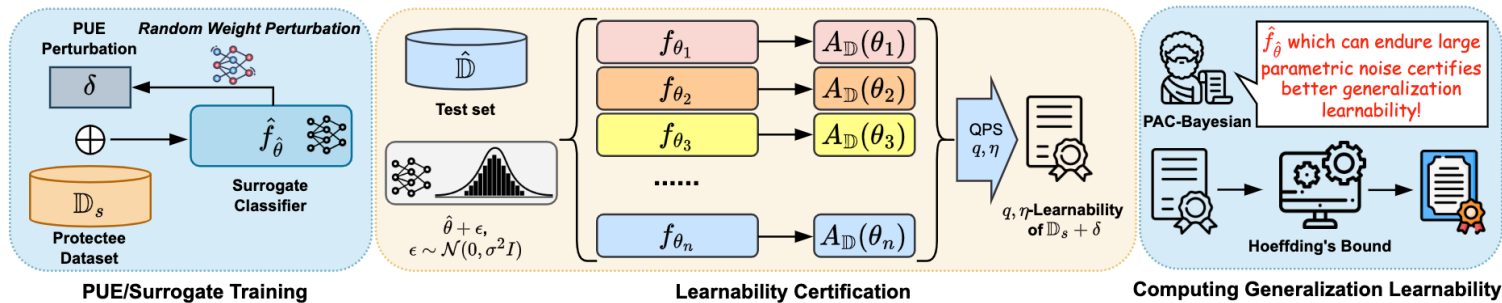
Given a set of UEs, can we establish an upper bound on the utility of pirate models trained on them?

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*It is possible to derive such an **upper bound** guaranteed with a high probability, for pirate classifiers **under some constraints**.*

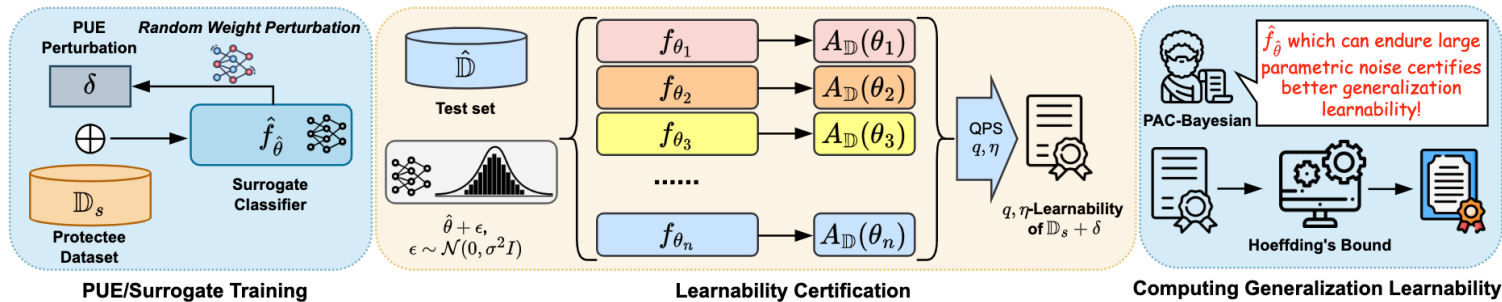
Certified Data Learnability

- Framework:



Certified Data Learnability

Framework:



Definition 3 ((q, η) -Learnability). Suppose a learning function Γ selects $\hat{\theta}$ based on an unlearnable dataset $\mathbb{D}_s \oplus \delta$. The certified (q, η) -Learnability of $\mathbb{D}_s \oplus \delta$ is

$$l_{(q, \eta)}(\hat{\Theta}; \mathbb{D}_s \oplus \delta) = \inf \{t \mid \Pr_{\epsilon} [A_{\mathbb{D}}(\hat{\theta} + \epsilon) \leq t] \geq \bar{q}\}, \quad (10)$$

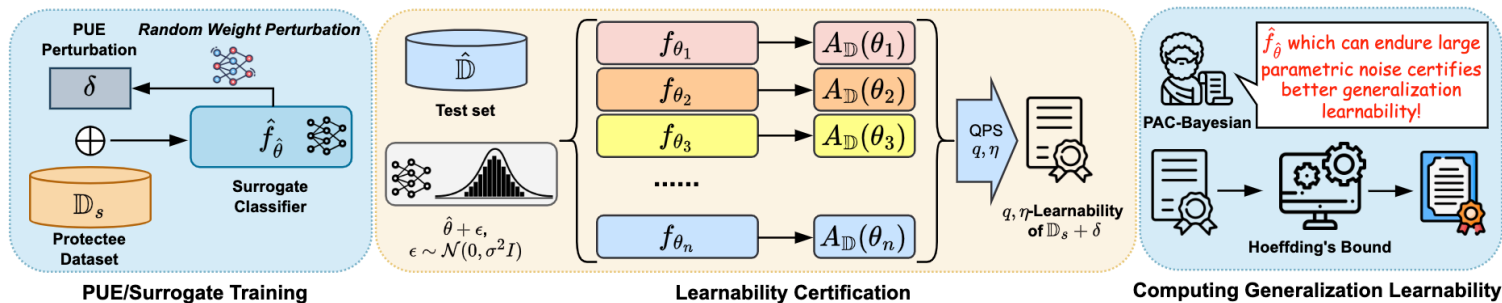
where $\bar{q} = \Phi(\Phi^{-1}(q) + \frac{\eta}{\sigma})$. For any θ^* drawn from the certified parameter set $\hat{\Theta} := \{\theta \mid \theta \sim \mathcal{N}(\hat{\theta} + v, \sigma^2 I), \|v\| \leq \eta\}$, there is $A_{\mathbb{D}}(\theta^*) \leq l_{(q, \eta)}(\hat{\Theta}; \mathbb{D}_s \oplus \delta)$ with probability no less than q .

→ Guarantee

→ Constraint

Certified Data Learnability

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→ Guarantee

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This is the **first certification framework** towards the effectiveness and robustness of UEs.



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How to Certify It

- Main theorem:

The QPS function computes the model utility at a given quantile (q) among all attainable model utilities.



Definition 2 (*Quantile Parametric Smoothing function*). Given a dataset \mathbb{D} from the space $\mathcal{X} \times \mathcal{Y}$, an \mathbb{D} -parameterized function $A_{\mathbb{D}} : \Theta \rightarrow [0, 1]$ with an input $\theta \in \Theta$, and a parametric smoothing noise $\epsilon \sim \mathcal{N}(0, \sigma^2 I)$ under a standard deviation of σ , a *Quantile Parametric Smoothing function* $h_q(\theta)$ is defined as:

$$h_q(\theta) = \inf \{t \mid \Pr_{\epsilon}[A_{\mathbb{D}}(\theta + \epsilon) \leq t] \geq q\}, \quad (7)$$

where $q \in [0, 1]$ is a probability.

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The perturbation bound of QPS certifies the learnability within a certified parameter set that scales with η .



Theorem 1 (*Perturbation bound on QPS*). Let $\Gamma : \mathcal{X} \times \mathcal{Y} \rightarrow \hat{\theta} \in \Theta$ be a learning function selecting $\hat{\theta}$ from the parameter space Θ based on a dataset defined in $\mathcal{X} \times \mathcal{Y}$. Given an target dataset \mathbb{D} and a quantile smoothed function $h_q(\hat{\theta})$ centered at a Gaussian $\mathcal{N}(\hat{\theta}, \sigma^2 I)$, then there exists an upper bound for $h_q(\hat{\theta} + v)$. Specifically,

$$h_q(\hat{\theta} + v) \leq \inf \{t \mid \Pr_{\epsilon}[A_{\mathbb{D}}(\hat{\theta} + \epsilon) \leq t] \geq \bar{q}\}, \quad \forall \|v\| \leq \eta, \quad (9)$$

where $\bar{q} := \Phi(\Phi^{-1}(q) + \frac{\eta}{\sigma})$. $\Phi(\cdot)$ is the standard Gaussian CDF and $\Phi^{-1}(\cdot)$ is the inverse of the CDF. $\|v\|$ is the ℓ_2 norm of the parameter shift v from $\hat{\theta}$.

How to Certify It (cont'd)

- Certification algorithms:

Algorithm 1: Quantile Upper Bound

func QUPPERBOUND

Input: noise draws n, α, σ, η , quantile q .

Output: Index of the value in the q -th quantile

$\bar{q} \leftarrow \Phi(\Phi^{-1}(q) + \frac{\eta}{\sigma})$

$\underline{k}, \bar{k} \leftarrow \lceil n * \bar{q} \rceil, n$

$k^* \leftarrow 0$

for $k \in \{\underline{k}, \underline{k} + 1, \dots, \bar{k}\}$ **do**

if BINOMIAL(n, k, \bar{q}) $> 1 - \alpha$ **then**

$k^* \leftarrow k$

else

Continue

if $k^* \neq 0$ **then**

Output: k^*

else

 ABSTAIN

func BINOMIAL

Input: Sampling number n, k, \bar{q} .

CONF $\leftarrow \sum_{i=1}^k \binom{n}{i} (\bar{q})^i (1 - \bar{q})^{n-i}$

Output: CONF

Algorithm 2: (q, η) -Learnability Certification

Input: Accuracy function $A_{\mathbb{D}}$, surrogate weights $\hat{\theta}$, test set \mathbb{D} , n, σ , q, η .

Output: (q, η) -Learnability

Initialize a

for $i \in 1, \dots, n$ **do**

$\theta \leftarrow \hat{\theta} + \epsilon, \epsilon \sim \mathcal{N}(0, \sigma^2 I)$

 Evaluate $A_{\mathbb{D}}(\theta)$ on \mathbb{D}

 Append $A_{\mathbb{D}}(\theta)$ to a

$a \leftarrow \text{Sort}(a)$

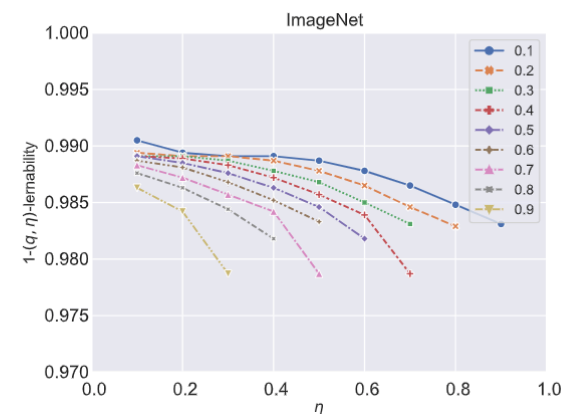
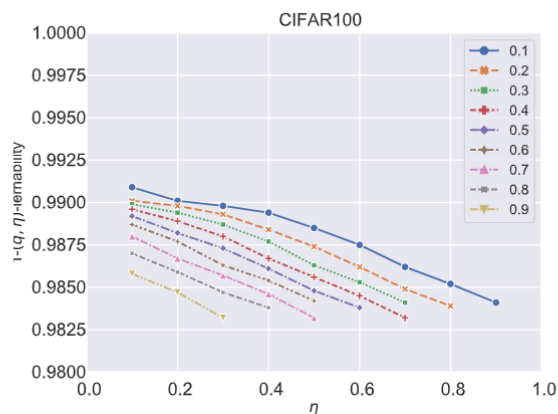
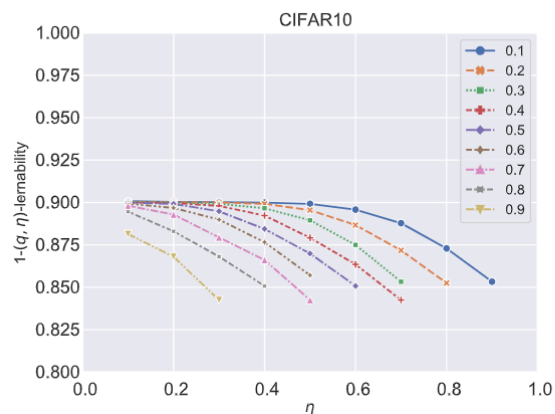
$k \leftarrow \text{QUPPERBOUND}(n, \alpha, \sigma, \eta, q)$

$t \leftarrow a_k$

Output: t

Check our paper for more details.

Properties of the Certification



Larger values of q and η help certify higher learnability.

Certification Results

- We propose Random Weight Perturbation (RWP) for certification surrogate training:

TABLE I: Certified (q, η) -Learnability under Different Training Methods ($\sigma = 0.25$)

Data	Method	$\eta \times 100$								
		0.1	0.5	1.0	5.0	10.0	15.0	20.0	25.0	30.0
CIFAR10	PUE-B	10.62	10.67	10.71	11.07	11.86	12.50	13.20	14.67	15.75
	EMN	5.69	5.70	5.74	5.91	6.24	6.43	6.96	8.61	10.27
CIFAR100	PUE-B	1.32	1.32	1.33	1.37	1.41	1.47	1.53	1.59	1.68
	EMN	0.43	0.43	0.44	0.47	0.52	0.59	0.70	0.77	0.89
ImageNet	PUE-B	1.46	1.46	1.48	1.54	1.63	1.79	1.85	1.97	2.13
	EMN	1.34	1.34	1.37	1.41	1.45	1.49	1.54	1.58	1.67

TABLE II: Certified (q, η) -Learnability under Different Training Methods ($\sigma = 0.8$)

Data	Method	η									
		0.1	0.2	0.3	0.4	0.5	0.6	0.7	0.8	0.9	1.0
CIFAR10	PUE-B	10.68	10.86	11.18	11.37	11.52	12.00	12.71	12.87	13.72	15.17
	EMN	5.86	6.11	6.28	6.52	7.00	7.27	7.36	7.87	8.38	9.02
CIFAR100	PUE-B	1.13	1.15	1.16	1.20	1.23	1.27	1.31	1.36	1.51	1.56
	EMN	0.47	0.48	0.51	0.55	0.59	0.63	0.66	0.67	0.69	0.70
ImageNet	PUE-B	1.19	1.24	1.26	1.28	1.32	1.37	1.45	1.50	1.61	1.80
	EMN	1.12	1.14	1.16	1.20	1.25	1.29	1.40	1.40	1.48	1.48

RWP surrogates (*PUE-B*) produce **higher** certified (q, η) -Learnability on the same set of UEs

RWP creates **better** certification surrogates.

Certification Results

- Provably Unlearnable Examples (PUEs) are generated based on the online surrogate:

TABLE III: Certified (q, η) -Learnability under Different PAP Noises ($\%$, $\sigma = 0.25$, online)

Data	Method	$\eta \times 100$								
		0.1	0.5	1.0	5.0	10.0	15.0	20.0	25.0	30.0
CIFAR10	PUE-10	10.24	10.29	10.31	10.69	11.14	11.82	12.29	13.12	13.59
	PUE-1	10.86	10.97	11.04	11.62	12.12	12.64	13.35	14.22	14.66
	PUE-B	10.62	10.67	10.71	11.07	11.86	12.50	13.20	14.67	15.75
CIFAR100	PUE-10	1.29	1.29	1.31	1.35	1.41	1.43	1.46	1.51	1.65
	PUE-1	1.35	1.36	1.36	1.42	1.48	1.53	1.57	1.69	1.87
	PUE-B	1.32	1.32	1.33	1.37	1.41	1.47	1.53	1.59	1.68
ImageNet	PUE-10	1.45	1.45	1.45	1.50	1.61	1.68	1.76	1.84	1.95
	PUE-1	1.58	1.58	1.58	1.67	1.73	1.81	1.95	2.00	2.19
	PUE-B	1.46	1.46	1.48	1.54	1.63	1.79	1.85	1.97	2.13

TABLE IV: Certified (q, η) -Learnability under Different PAP Noises ($\%$, $\sigma = 0.8$, online)

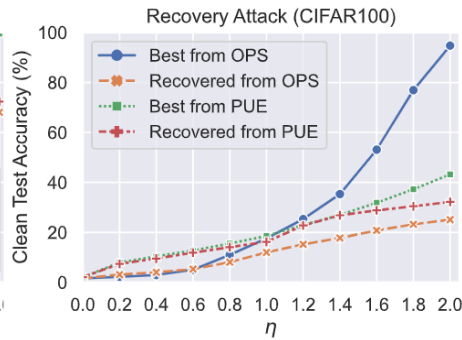
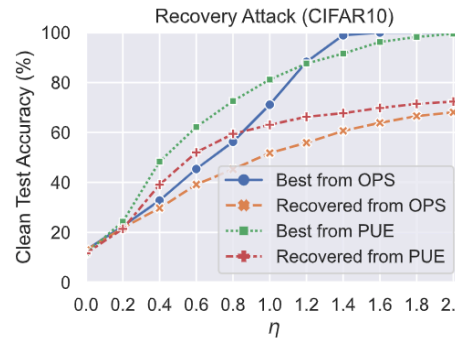
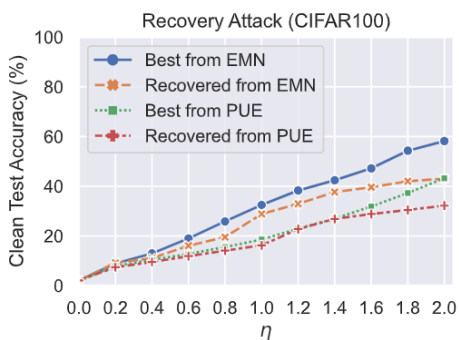
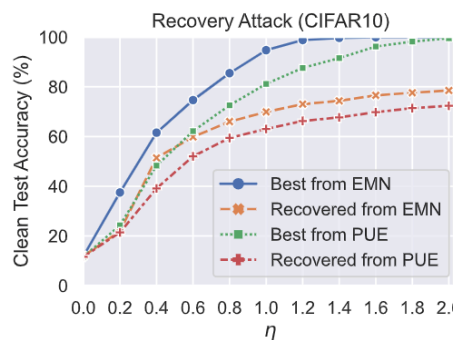
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	PUE-B	1.19	1.24	1.26	1.28	1.32	1.37	1.45	1.50	1.61	1.80

Under the same training method, PUEs (*PUE-10*) have **lower** certified (q, η) -Learnability compared to the baseline (*PUE-B*)

PUEs lead to more **robust** protection against **certifiable adversaries**.

Protection Against Pirate Classifiers Beyond the Certified Parameter Set

- Hardness results of recovery attacks:



PUEs are also more **robust** against **general adversaries**.



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Applying Our Work and Directions for Improvements

- Applications:
 - ✓ Serve as an **evaluation metric** for UEs.
 - ✓ Provide a **data availability guarantee** before publishing the data.
 - ✓ Generate **robust PUEs**.



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 - ❑ **Tightness**: Certify higher learnability.
 - ❑ **Efficiency**: Accelerate PUE generation and surrogate training.



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Thank you for your attention!
Questions?

Derui (Derek) Wang

Research Scientist | **Data61**, **CSIRO** Australia's National Science Agency
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