## PhantomLiDAR: Cross-modality Signal Injection Attacks against LiDAR

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## LiDAR (Light Detection And Ranging)

□ LiDAR sensor is **widely used** for **3D perception** in safety-critical systems.





CVIS





Robots



[1] Pic Source: www.velodynelidar.com





## LiDAR (Light Detection And Ranging)

□ LiDAR sensor is **widely used** for **3D perception** in safety-critical systems.



Self-driving Car



Robots

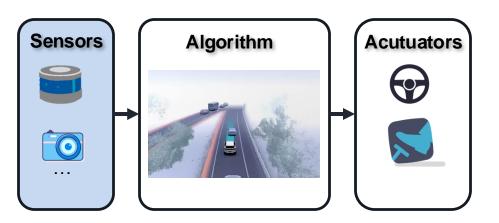


CVIS



Drones

□ Correct Sensing of LiDAR is the foundation for system safety.



A typical workflow of self-driving system

[1] Pic Source: www.velodynelidar.com



## LiDAR (Light Detection And Ranging)

□ LiDAR sensor is **widely used** for **3D perception** in safety-critical systems.

□ Correct Sensing of LiDAR is the foundation for system safety.

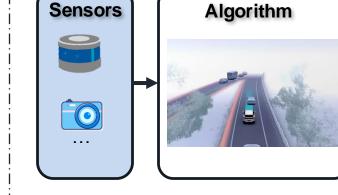


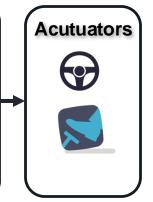
Self-driving Car



CVIS



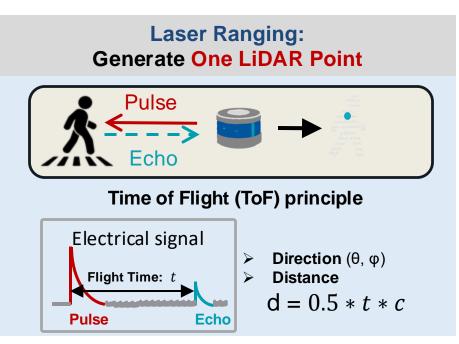




#### LiDAR security is important ! Research Goal: Make the LiDAR more Reliable.

### How Does LiDAR Work?

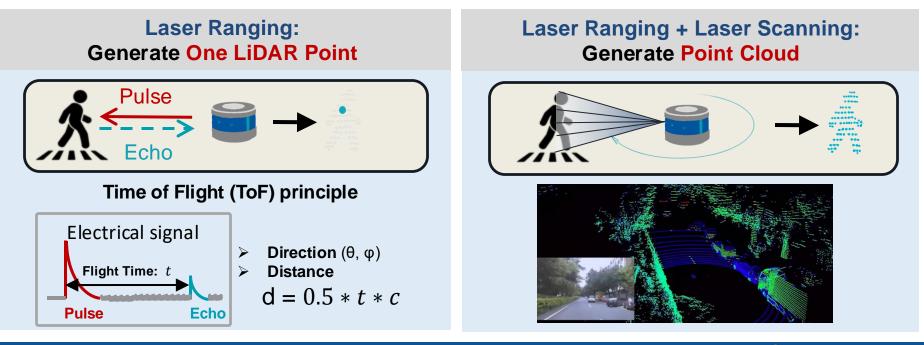
□ LiDAR perceives the environment by generating **point cloud** through Laser Ranging and Laser Scanning.





### How Does LiDAR Work?

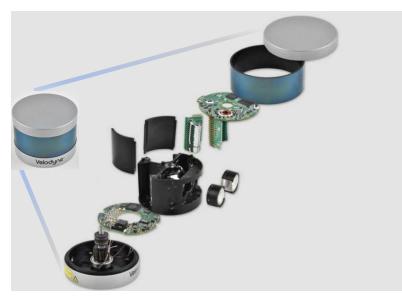
□ LiDAR perceives the environment by generating **point cloud** through Laser Ranging and Laser Scanning.







### **Functional Modules of LiDAR**



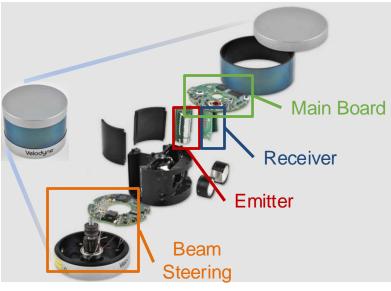
#### Teardown of a LiDAR<sup>[1]</sup>

[1] Source: techinsights.com

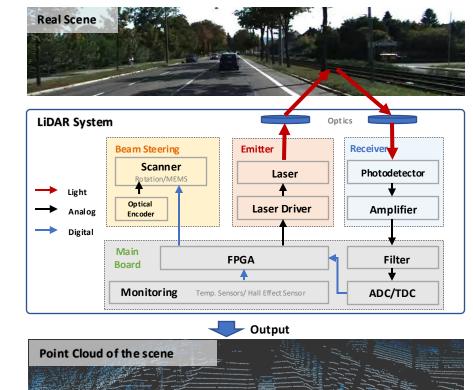




## **Functional Modules of LiDAR**



Teardown of a LiDAR<sup>[1]</sup>



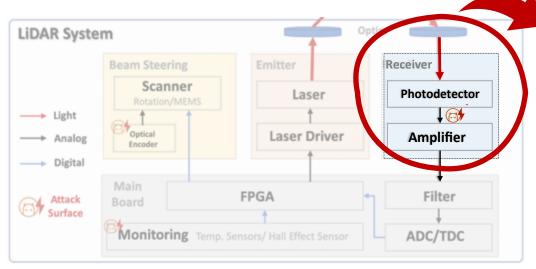
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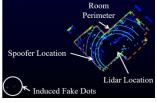




## **Related Work - LiDAR Attack**

Previous works all considered the "Receiver" as the attack Surface, focusing on manipulating laser ranging to attack LiDAR.

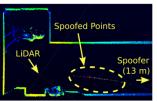




Illusion and Dazzle Shin et al. CHES'17



**PLA-LiDAR**, Jin et al. S&P'23



AdvLiDAR, Cao et al. CCS'19

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83% Pedestri	ian 📩	-drim.	EMI

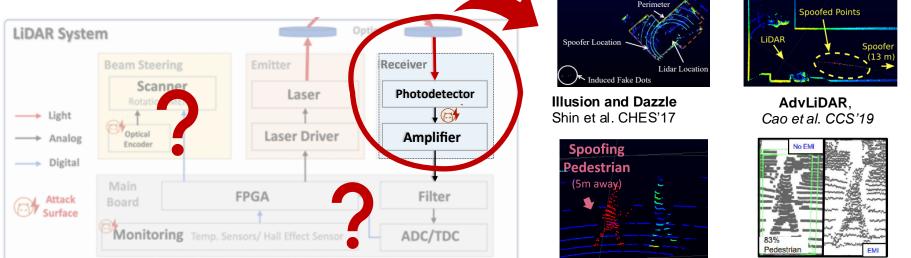
EMI-LiDAR, S.H.V et al. WISec'23



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## Related Work - LiDAR Attack

Previous works all considered the "Receiver" as the attack Surface, focusing on manipulating laser ranging to attack LiDAR.



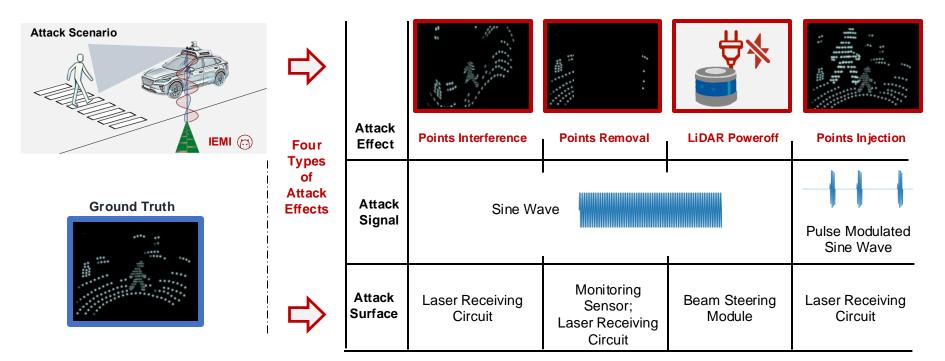
**Research Gap:** The vulnerabilities of **other modules** within the LiDAR system remain underexplored





### We Propose PhantomLiDAR

#### **EM-based** attack with 4 Effects, 3 Attack Surfaces and 2 Principle.

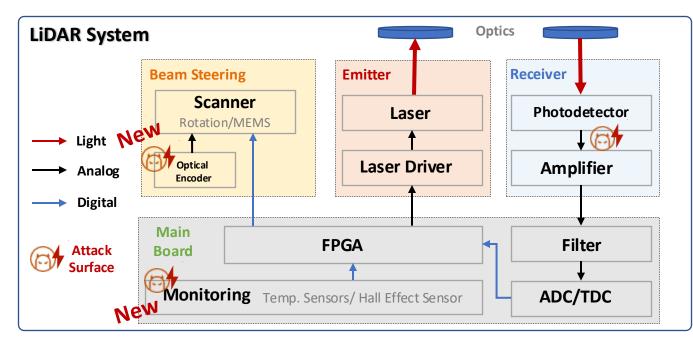






### Attack Surfaces of PhantomLiDAR

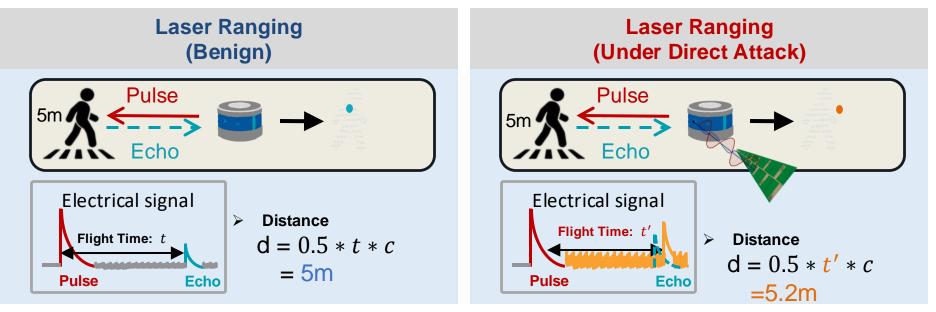
□ Attack surfaces include the (1) laser receiving analog circuit in receiver , (2) monitoring sensors on mainboard and (3) optical encoder in beam steering module





### Two Attack Principles of PhantomLiDAR

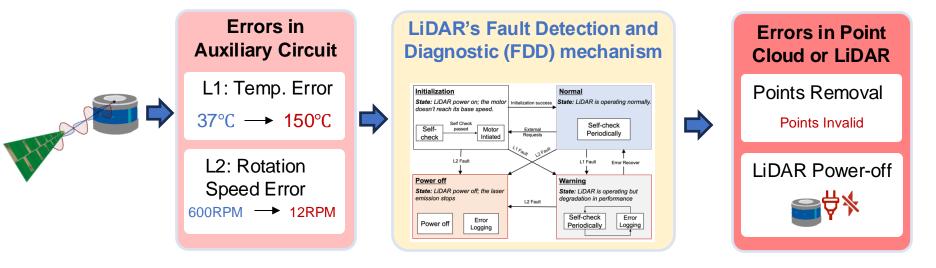
1. Direct Attack: interfere with the analog signal in the receiving module, directly affecting the LiDAR's echo signal and subsequently disrupting the point cloud.





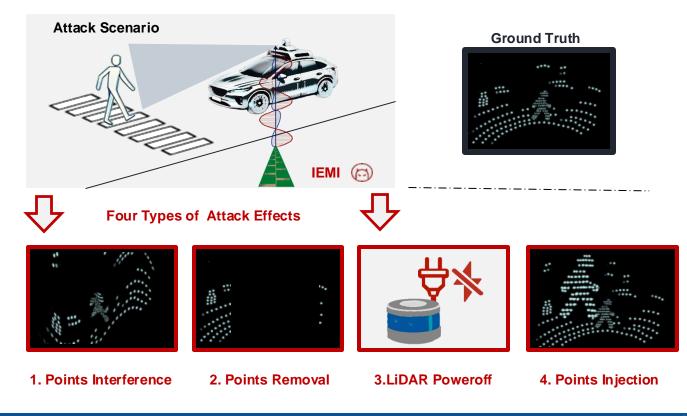
### Two Attack Principles of PhantomLiDAR

2. Indirect Attack: First, the attacker induces errors in the auxiliary circuit. Then, by exploiting LiDAR's Fault Detection and Diagnostic (FDD) mechanism, these errors can indirectly trigger severe issues such as point removal or LiDAR power-off.





#### Let's Dive into the Four Attack Effcts





## **Detail of Points Interference**

#### Attack Effect

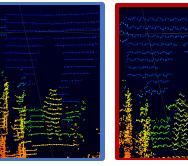
Introduce errors in LiDAR ranging, thereby distorting the point cloud.



Points Interference



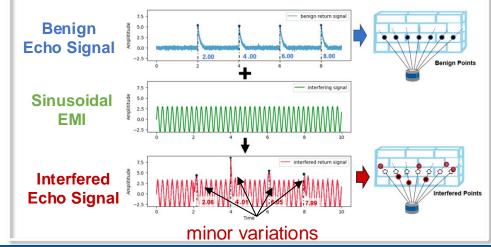
#### Illustration



Real Attack

#### Attack Principle - Direct Attack

- Attack Surface: Analog circuit in the receiver
- Attack Signal: Sinusoidal EMI at a specific frequency
- Attack Principle: The sinusoidal interference from EMI can cause minor variations in the peak time of the return signal. This subsequently causes a shift in the position of the points.





## **Detail of Points Removal**

#### Attack Effect

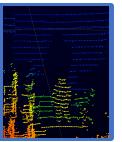
Causes the points to deviate significantly from its true position or to disappear.

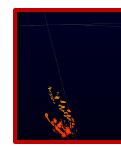
**Ground Truth** 



**Points Removal** 

#### Illustration





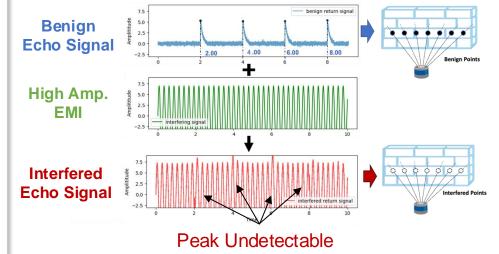
Real Attack

#### □ Attack Principle1 - Direct Attack

Attack Surface: Analog circuit in the Receiver

.

- Attack Signal: High Amplitude Sinusoidal EMI
- Attack Principle: Inject high amplitude EM signal into receiving circuit, it may saturate the receiving circuit and make the real echo laser pulse undetectable.







## **Detail of Points Removal**

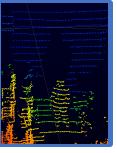
#### Attack Effect

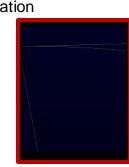
Causes the points to deviate significantly from its true position or to disappear.





Illustration

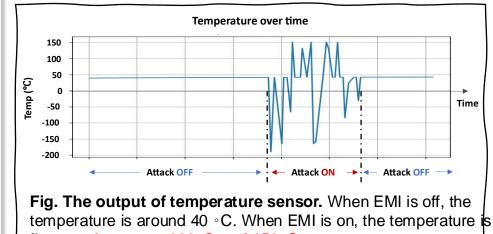




Real Attack

#### □ Attack Principle2 - Indirect Attack

- Attack Surface: Monitoring Sensors
- Attack Principle: Compromise temperature sensor, it may induce LiDAR to detect L1 fault, leading LiDAR to consider some or all of the points as invalid.



fluctuate between -200°C and 150°C.

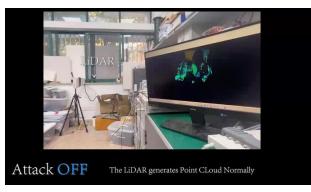


## Detail of LiDAR Power-off

#### Attack Effect

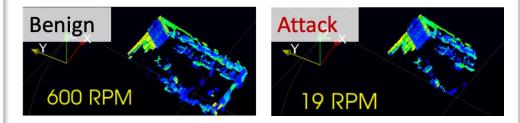
Causes the LiDAR system to shut down and stop working





#### □ Attack Principle - Indirect Attack

- Attack Surface: Optical Encoder in Beam Steering Module
- Attack Signal: Sinusoidal EMI at a specific frequency
- Attack Principle: Compromise Optical Encoder in beam steering module, it may induce LiDAR to detect L2 fault, leading LiDAR power off to protect itself.



**Fig. The Rotational Speed of LiDAR.** When conducting LiDAR Power-off attack, the rotational speed of the LiDAR significantly decreases, then leading to a denial of service, and ultimately resulting in powering off.



## **Detail of Points Injection**

#### Attack Effect

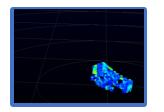
Inject controllable points

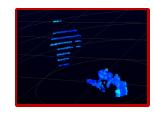


#### **Points Injection**



#### Illustration

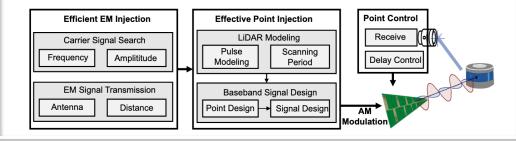




#### Real Attack



- Attack Surface: Analog circuit in the receiver
- Attack Signal: Amplitude Modulated Sine Wave
  - Carrier Signal: Sinusoidal Wave
  - Baseband Signal: Fine-grained Pulses
- Attack Principle: Forging echo signal of LiDAR to control points.



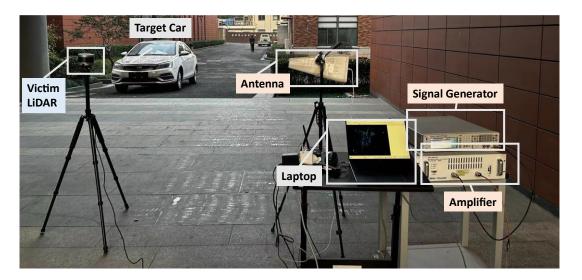




#### Evaluation

#### **Overview**

- 1) Attack on 5 COTS LiDARs
- 2) Points Interference
- 3) Points Removal
- 4) LiDAR Poweroff
- 5) Points Injection
- 6) Feasibility Experiments on Moving Vehicle

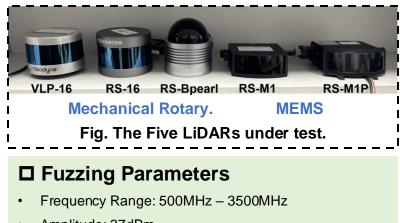


**Fig. Attack Setup.** The attack devices include a Keysight N5712b vector signal generator for EMI signal generation, a MiniCircuits HPA-50W-63+ power amplifier for amplifying the EMI signal, and a log-periodic antenna for signal transmission.

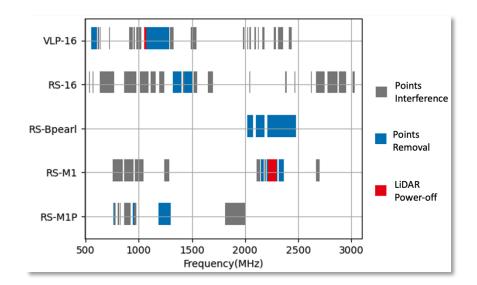




## **Evaluation – Fuzzing Different LiDARs**



- Amplitude: 37dBm
- Distance: 30cm



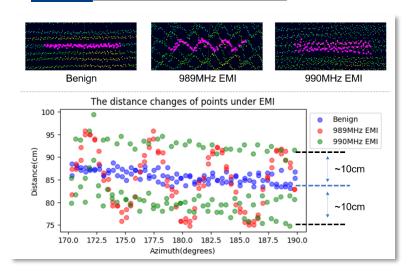
#### **Observation: Different LiDARs exhibit different vulnerabilities and vulnerable frequencies.**

- Points Interference can be achieved on all LiDARs except RS-Bpearl, demonstrating that the electromagnetic protection of RS-Bpearl's receiving circuit is more robust.
- Points Removal can be implemented on all LiDARs.
- LiDAR Power-off can be achieved on VLP-16 and RS-M1.





## **Evaluation – Points Interference**



#### 1.00 0.98 Degradation 0.96 0.94 Interference Intensity Performance 0.92 $\varepsilon = 0$ $\varepsilon = 5 \text{cm}$ 0.90 $\varepsilon = 10 \text{cm}$ 0.88 0.86 PV-RCNN VirConv-L **EPNet** PointPillar **CLOCs** LiDAR-Camera Fusion 3D Object Detection Models

#### Points Interference Intensity.

Our attack devices can induce above 10cm

#### distance errors.

This will reduce the performance of the object detection model by 10%.

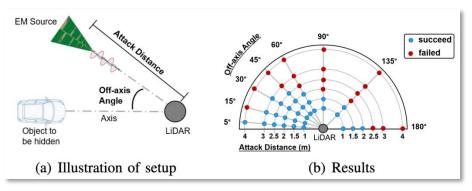
## The impact of Point Interference on 3D object detection models.

# Observation : Sensor fusion mitigates points interference effectively.





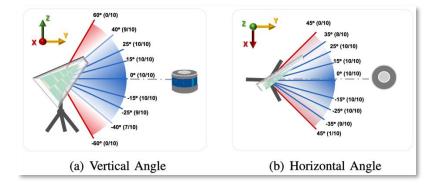
#### **Evaluation – Points Removal**



**Fig1. Impacts of attacker's location.** The attacker can hide the target object from any location within a distance of 1.5 meters. The attacker can succeed beyond 4 meters away (5.5 meters at most).



PhantomLiDAR: Cross-modality Signal Injection Attacks against LiDAR



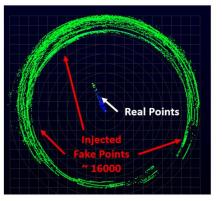
**Fig2. Impacts of Aiming.** The EM antenna could deviate up to 40° vertically or 35° horizontally while still achieving a <u>hiding attack</u> effect.

1) Low Aiming Requirements

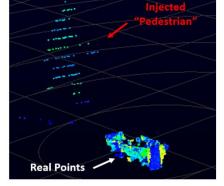




#### **Evaluation – Points Injection**

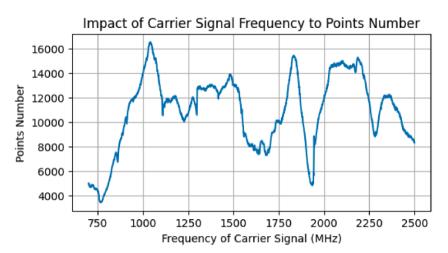






(b) Specified Pattern Injection

**Fig. Points Injection with Different baseband signals.** (a) When the baseband signal is a periodic pulse signal, the **Wall-pattern** spoofing points can be injected. (b) With a fine-grained baseband signal, the **pedestrian-pattern** spoofing points can be injected.



**Fig. Impact of Carrier Frequency to Points Number.** Different carrier frequencies indeed impact the number of injected points. Notably, a carrier frequency of approximately 1040 MHz enabled the injection of the highest number of points (OVEr 16,500).





## Feasibility Experiments on Moving Vehicle

Attack Goal: Compromise the victim LiDAR and make the LiDAR-based 3D object detection model unable to detect the target car.



(a) Attack Setup

(b) Attack Devices

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## Feasibility Experiments on Moving Vehicle

#### □ Moving Attack Scenario: Tailgating attack.



The attacker car drives close to the victim car at a similar speed.

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**New Attack Surfaces:** As far as we know, we are the first to propose the attack surfaces of monitoring sensors and optical encoder in beam-steering module on LiDAR.

**New EM-based Attack Effects.** We propose three new EM-based attack effects including Points Removal, LiDAR Power-off, and Points Injection.

#### **Strong Attack Capabilities:**

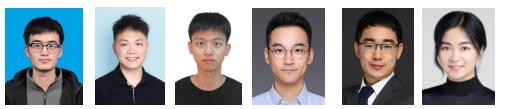
- **Points Interference** shows 2x stronger interference capability compared to SOTA works.
- **Points Removal** can hide a target remotely without precise aiming.
- LiDAR Power-off can success on popular mechanical LiDAR VLP-16 and MEMS LiDAR RS-M1.
- **Points Injection** can inject controllable points number 5x more than SOTA laser-based attacks.





#### PhantomLiDAR:

#### **Cross-modality Signal Injection Attacks against LiDAR**



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Project Website: https://sites.google.com/view/phantomlidar





USSLAB Website: <u>www.usslab.org</u>



